



## Committee/Group 'Triple A' Chair's Report

Name of Committee/Group	Audit Committee	Reporting to:	Trust Board
Date of the meeting:	18 November 2019	Parent Committee:	Trust Board
Chair:	Mark Tattersall	Quorate (Y/N)	Y

Agenda Item:	RAG	Key Points	Actions Required	Action Lead	Expected Date for Completion
MIAA Progress Report		Serious Untoward Incidents Review – A Moderate Assurance rating was received following the review and a number of areas of good practice were identified. However, 1 high risk was recommendation was raised in relation to ensuring that all reportable StEIS incidents are uploaded on to StEIS within the 2-day time frame. The final report should also be uploaded to StEIS and Commissioners within the 60-day time frame.	It was agreed that a report will be requested to provide detail of how long timescales have slipped and the reason why the slippage has occurred.	SL	January 2020
MIAA Work in Progress –Medical Appraisal and Validation		The review has exceeded the contract performance KPI due to challenges in relation to the Management Response.	The Medical Director and Head of Medical Workforce will be requested to attend at the Committee to provide an explanation in relation to the delay in the Executive responding to the draft report which was issued by MIAA on the 19 <sup>th</sup> of September.	SK/JK	January 2020
MIAA Progress Report		Fit and Proper Person Requirement Review A moderate Assurance rating was received and good practice was identified in a number of areas. However, there was recognition of the historical position prior to December	Actions have been taken to address the historical issues and further improvement is expected when following the MIAA follow up review.	JSh	

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		2018, when necessary pre-employment checks were not completed in accordance with NHS Employer Standards.			
Revised Audit Tracker & Supporting Management Process		The Committee welcomed the much improved position in relation to the Audit Tracker with the report indicating that 192 items have been removed from the outstanding overdue actions list. However, the Committee requested that the analysis of the remaining high and medium rated outstanding overdue items be circulated to Members and that the Executive work with MIAA to ensure there is a consistent view of what should be presented to the Audit Committee.	AW to circulate the requested schedule and to work with MIAA ahead of the January Audit Committee to ensure there is an agreed position between the Executive and MIAA regarding the outstanding overdue actions.	AW	January 2020
Any Other Business		Board Assurance Framework – The Quarter 2 report was not shared at the Committee and is to be reviewed with the Chief Executive prior to submission to Trust Board.	AW will circulate the framework to Committee members, prior to its submission to Board.	AW	November 2019
Any Other Business		Corporate Governance capacity - the adverse impact of the transfer of the legal responsibilities into Corporate Governance has had a negative impact on the workload of the department and on its capacity to deliver specific agenda items to the Audit Committee.	A rapid review is required of 'Potential' legal cases, and ongoing review of 'Actual' legal cases, to ensure a full position statement can be drafted.	AW	January 2020
Schedule of Outstanding Debts		It was noted that a number of debts remain outstanding from previous financial years for the Trust, one of which dates back to 2013.	The Committee requested that assurance is provided on the recoverability of the long outstanding debts irrespective of whether they are NHS or non-NHSSs.	JT/JA	January 2020

KEY

	<b>ALERT</b> the Committee on areas of non-compliance or matters that need addressing urgently
	<b>ADVISE</b> the Committee on any on-going monitoring where an update has been provided to the sub-committee and any new developments that will need to be communicated or included in operational delivery
	<b>ASSURE</b> the Committee on any areas of assurance that the Committee/Group has received