



## Committee/Group 'Triple A' Chair's Report

Name of Committee/Group	AUDIT COMMITTEE	Reporting to:	TRUST BOARD
Date of the meeting:	18 MARCH 2019	Parent Committee:	TRUST BOARD
Chair:	MARK TATTERSALL	Quorate (Y/N)	Y

Agenda Item:	RAG	Key Points	Actions Required	Action Lead	Expected Date for Completion
Draft Internal Audit Plan 2019/20		Committee were content with the plan subject to the inclusion of reviews around Data Quality, CQC Action Plan & Haemato-Oncology (safety review prior to new hospital opening).	Add to draft plan and discuss at Executive Team 21 March 2019.	Executive Team & Internal Audit	31 March 19
Internal Audit Progress Report		Limited Assurance rating received for PMO. Assurance was provided that improvements have been made since the audit was carried out including the implementation of the TCC Programme Board and a Head of PMO recruited.  Chair request for Executive leads to attend future Audit Committee meetings for any reports rated Limited Assurance.	A follow-up review will be carried out Q1 2019/20 to assess progress.  N/A	BS / AMH  AW	30 June 19  Ongoing

Internal Audit Follow-Up Report		<p>Positive progress has been made following work carried out between MIAA and the Trust to align the Audit Tracker to MIAA follow-up work.</p> <p>Acknowledged this is still work in progress but presents a much better position and assurance around process.</p>	<p>Work in progress to further refine the process and complete the alignment of all remaining actions. Will be presented to the July Audit Committee.</p>	AW/AMH	17 July 19
2019/20 Anti-Fraud Plan		<p>Plan approved by the Committee.</p>	<p>Progress again plan will reported to the Audit Committee</p>	CS	Ongoing
External Audit		<p>External Audit Plan for Year Ending 31 March 2019 approved.</p> <p>Revised Accounting Standards presented to the Committee.</p>	<p>N/A</p> <p>Executive to carry out Impact Assessment and provide a report to the July Audit Committee.</p>	N/A JT	N/A 17 July 19
Risk Management		<p>Committee recognised activity is ongoing to review and revise process, including what information is presented to each Committee.</p> <p>As part of this the Committee agreed that consideration is required on how Audit Committee will receive assurance around how other committees are managing and monitoring risks.</p>	<p>New CEO led Risk Management Committee will help to drive change, together with full review of process which is underway.</p>	AW	4 April 19
Annual Report & Accounts Programme		<p>Programme was noted by the Committee. Request for Audit Committee to review draft Annual Governance Statement (AGS) and Accounts prior to Trust Board.</p>	<p>Audit Committee will be held on 14 May to review draft AGS and Accounts.</p>	JT/AW	14 May 19
Terms of Reference		<p>Revised ToR presented to the Committee. Noted the ToR were much more aligned to how they should be.</p>	<p>Committee members will review and provide final comments to AW by 31 March 2019.</p>	All	31 March 19

KEY

ALERT	the Committee on areas of non-compliance or matters that need addressing urgently
ADVISE	the Committee on any on-going monitoring where an update has been provided to the sub-committee and any new developments that will need to be communicated or included in operational delivery
ASSURE	the Committee on any areas of assurance that the Committee/Group has received