

AGENDA ITEM 032/2017

Meeting of Audit Committee 25th January 2017

- Approved the process to put out to tender the role of the Internal auditor
- Approved the schedule of losses. There are 2 items to date:
 - As reported in the previous report relating to a payment of £625 per quarter for an injury sustained by an employee.
 - Two electronic screens identified as missing during an audit, valued at £870
- Reviewed the schedule of debts greater than £500 outstanding for longer than 90 days
- Noted the current position on Financial procedures
- Approved change to the Internal Audit plan to support the Trust with Meditech data validation, as the final business case audit for TCC review is no longer required
- Received the internal audit report covering the following areas:
 - Cost Improvement Plan Review – Significant Assurance with no High level Risks and plans for agreed action by April 2017
 - Financial Systems – Significant Assurance with no High level Risks and plans for agreed action by June 2017
 - Haemato-Oncology Integration project Assurance – Significant Assurance with several areas identified for enhancement
 - Cyber Security –Baseline Technical Controls Assessment reviewed
- Received the Counter Fraud report covering the following areas:
 - Bribery and corruption report received - indicates we are on track to comply
 - Benchmarking report with wider NHS information

Note: All risks identified by internal audit are recorded and categorised by level of risk on the audit tracker system to ensure that all risk and recommendations are over time cleared, which should increase the assurance that can ultimately be taken.

- Received 1 report from the external Auditor covering and update on the audit plans and a technical update on emerging issues in the sector which were reviewed to ensure the Board or a Board Committee were aware of the issues. The Committee reviewed a KPI report which allowed us to compare financial KPIs against other Trusts

The Committee noted the 2015/16 audit reports and financials for PharmaC and CCC Charity relating to the processes arriving at the Audit opinion